

01/29/02
jc931 U.S. PTO

HUNTON &
WILLIAMS

1900 K STREET, N.W.
WASHINGTON, D.C. 20006-1109

TEL 202 • 955 • 1500
FAX 202 • 778 • 2201

jc828 U.S. PTO
10/058175
01/29/02

BRIAN M. BUROKER
DIRECT DIAL: 202-955-1894
EMAIL: BBUROKER@HUNTON.COM

January 29, 2002

FILE NO: 53470.009005

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **53470.009005**

First Named Inventor: **Joshua Gee-yuen Mahowald**

Title: **SYSTEM AND METHOD FOR INTERACTIVE VOICE SERVICES USING
MARKUP LANGUAGE WITH N-BEST FILTER ELEMENT**

TO: **BOX PATENT APPLICATION**
Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed:

☐ Independent Inventor
☐ Small Business Concern
☐ Non-Profit Organization

☐ Statement Enclosed
☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ Specification - Total Pages: 156 (Including Abstract)

21967
PATENT TRADEMARK OFFICE

BOX PATENT APPLICATION

January 29, 2002

Page 2

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	18	20	0	\$ 18.00	\$ 9.00	\$ 0.00
Independent Claims	3	3	0	\$ 84.00	\$ 42.00	\$ 0.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$ 0.00
BASIC FEE				\$ 740.00	\$ 370.00	\$ 740.00
TOTAL FILING FEE						\$ 740.00
Assignment Recordation Fee						\$ 40.00
TOTAL AMOUNT ENCLOSED						\$ 780.00

4. ☒ Drawings - Total Sheets: 18 (Fig(s). 1-13)
5. Oath or Declaration - Total Pages: 3
- a. ☒ Newly executed (original or copy)
☐ New (unexecuted)
- b. ☐ Copy from a prior application
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(s):
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)

BOX PATENT APPLICATION

January 29, 2002

Page 3

- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or
- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☐ Assignee/Applicant: _____

- ☒ Assignment and Assignment Recordation Form Submitted Herewith
- ☐ Assignee of Record in Prior Application No. _____ :

recorded on _____ at Reel _____, Frame _____.
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Priority is Claimed as Follows:

- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☒ Nonpublication Request under 35 U.S.C. § 1222(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.

BOX PATENT APPLICATION

January 29, 2002

Page 4

17. ☐ Other: _____

18. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of
Prior Application No.: _____ filed _____

Prior application information: Examiner _____ Group Art Unit _____

☐ Incorporation By Reference (useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.

☐ Complete Application Based on Provisional Application No.: _____
filed _____

19. Please address all correspondence to:

☒ **CUSTOMER NUMBER** 21967

☒ **Brian M. Buroker**
Intellectual Property Department
Hunton & Williams
1900 K Street, N.W.
Suite 1200
Washington, DC 20006-1109

20. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:

☒ The power of attorney is to: Stanislaus Aksman, Reg. No. 28,562; Jennifer A. Albert, Reg. No. 32,012; Thomas E. Anderson, Reg. No. 37,063; David E. Baker, Reg. No. 42,285; Scott D. Balderston, Reg. No. 35,436; Carl L. Benson, Reg. No. 38,378; Brian M. Buroker, Reg. No. 39,125; Christopher C. Campbell, Reg. No. 37,291; Robin C. Clark, Reg. No. 40,956; Patrick A. Doody, Reg. No. 35,022; Kevin T. Duncan, Reg. No. 41,495; David D'Zurilla, Reg. No. 36,776; Ozzie A. Farres, Reg. No. 43,606; Nancy J. Flint, Reg. No. 46,704; Christopher J. Forstner, Reg. No. 46,049; Nancy J. Jensen, Reg. No. 45,913;

BOX PATENT APPLICATION

January 29, 2002

Page 5

Herbert V. Kerner, Reg. No. 42,721; Jonathan D. Link, Reg. No. 41,548; Tyler Maddry, Reg. No. 40,074; J. Michael Martinez, Reg. No. 37,178; David H. Milligan, Reg. No. 42,893; James R. Miner, Reg. No. 40,444; Kerry H. Owens, Reg. No. 37,412; Michael P.F. Phelps, Reg. No. 48,654; Andrew J. Ririe, Reg. No. 45,597; Stephen T. Schreiner, Reg. No. 43,097; Robert M. Schulman, Reg. No. 31,196; Thomas J. Scott, Jr., Reg. No. 27,836; Stuart I. Smith, Reg. No. 42,159; Samson Vermont, Reg. No. 42,202; Yisun Song, Registration No. 44,487; and Scott F. Yarnell, Reg. No. 45,245.

- ☒ Please remove as power of attorney: David D'Zurilla, Reg. No. 36,776.
- ☒ Please add as power of attorney: J. Robert Brown, Jr., Reg. No. 45,438; Katherine S. Chang, Reg. No. 40,544; Charles F. Hollis, III, Reg. No. 40,650; John P. Pinkerton, Reg. No. 28,746; and Robert J. Ward, Reg. No. 28,746.

21. ☒ A check in the amount of \$ 780.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206**.
- ☒ The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.

Respectfully submitted,

By: Bm M R
Brian M. Buroker
Registration No. 39,125

Enclosures

FEE TRANSMITTAL BOX PATENT APPLICATION				Complete If Known																																					
				Application No.																																					
				Filing Date		January 29, 2002																																			
				First Named Inventor		Joshua Gee-yuen Mahowald																																			
				Examiner Name																																					
Group Art Unit																																									
Total Amount Of Payment		(\$) 780.00		Attorney Docket No.		53470.009005																																			
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to Deposit Account No. 50-0206 in the name of Hunton & Williams.				3. ADDITIONAL FEES																																					
				<table border="0" style="width: 100%;"> <tr> <td style="width: 80%;">Fee Description</td> <td style="width: 20%; text-align: right;">Fee Paid</td> </tr> <tr> <td><input type="checkbox"/> Surcharge - late filing fee or oath</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> _____ Month Extension of Time</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Notice of Appeal</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Filing Brief in Support of Appeal</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Request for Oral Hearing</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Utility Issue Fee (or Reissue)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Design Issue Fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Plant Issue Fee</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Petition to Commissioner</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Petition to Revive (Unavoidable)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Petition to Revive (Unintentional)</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Petitions Related to Provisional Applications</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> Submission of Information Disclosure Statement</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Filing Submission After Final Rejection</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Recording Each Patent Assignment Per Property</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input type="checkbox"/> Filing Request for Reexamination</td> <td style="text-align: right;">\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> Other (specify) Assignment</td> <td style="text-align: right;">\$ 40.00</td> </tr> </table>				Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> _____ Month Extension of Time	\$	<input type="checkbox"/> Notice of Appeal	\$	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (or Reissue)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petition to Commissioner	\$	<input type="checkbox"/> Petition to Revive (Unavoidable)	\$	<input type="checkbox"/> Petition to Revive (Unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input checked="" type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input type="checkbox"/> Recording Each Patent Assignment Per Property	\$
Fee Description	Fee Paid																																								
<input type="checkbox"/> Surcharge - late filing fee or oath	\$																																								
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$																																								
<input type="checkbox"/> _____ Month Extension of Time	\$																																								
<input type="checkbox"/> Notice of Appeal	\$																																								
<input type="checkbox"/> Filing Brief in Support of Appeal	\$																																								
<input type="checkbox"/> Request for Oral Hearing	\$																																								
<input type="checkbox"/> Utility Issue Fee (or Reissue)	\$																																								
<input type="checkbox"/> Design Issue Fee	\$																																								
<input type="checkbox"/> Plant Issue Fee	\$																																								
<input type="checkbox"/> Petition to Commissioner	\$																																								
<input type="checkbox"/> Petition to Revive (Unavoidable)	\$																																								
<input type="checkbox"/> Petition to Revive (Unintentional)	\$																																								
<input type="checkbox"/> Petitions Related to Provisional Applications	\$																																								
<input checked="" type="checkbox"/> Submission of Information Disclosure Statement	\$																																								
<input type="checkbox"/> Filing Submission After Final Rejection	\$																																								
<input type="checkbox"/> Recording Each Patent Assignment Per Property	\$																																								
<input type="checkbox"/> Filing Request for Reexamination	\$																																								
<input checked="" type="checkbox"/> Other (specify) Assignment	\$ 40.00																																								
2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 50-0206 in the name of Hunton & Williams, 1900 K Street, N.W., Suite 1200, Washington, D.C. 20006-1109.																																									
FEE CALCULATION																																									
1. BASIC FILING FEE <input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity																																									
				<u>FEE PAID</u>																																					
Utility Filing Fee		\$		740.00																																					
Design Filing Fee		\$																																							
Plant Filing Fee		\$																																							
Reissue Filing Fee		\$																																							
Provisional Filing Fee		\$																																							
2. EXTRA CLAIMS FEES																																									
CLAIMS AS AMENDED																																									
For	Number Present	Highest Number Paid For	Extra	Rate		Amount																																			
				Large Entity	Small Entity																																				
TOTAL CLAIMS	18	20	0	x \$ 18.00	x \$ 9.00	\$ 0.00																																			
INDEPENDENT CLAIMS	3	3	0	x \$ 84.00	x \$ 42.00	\$ 0.00																																			
MULTIPLE DEPENDENT CLAIMS				\$ 280.00	\$ 140.00	\$ 0.00																																			
TOTAL EXTRA CLAIMS FEES						\$ 0.00																																			
SUBMITTED BY						Complete (if applicable)																																			
Typed or Printed Name		Brian M. Buroker			Registration No.		39,125																																		
Signature		<i>Brian M. Buro</i>			Date		January 29, 2002																																		

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

**Joshua Gee-yuen
Mahowald**

Title

**System and Method for Interactive
Voice Services Using Markup
Language with N-Best Filter Element**

Attorney Docket No.

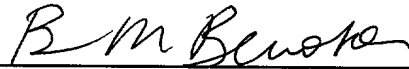
53470.009005

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 29, 2002

Date



Signature

Brian M. Buroker

Typed or printed name

39,125

Registration No.

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen (18) months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen (18) months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

11-00 PTO/SP/35 (11-00)